

CLASS I - APPLICATION FORM

PART I: APPLICANT MEMBER

(Organization having control of the commercial operation of the vessel(s) to be entered)

Member (Fleet) Name:																	
Trading Address								City:									
	State/Province:							Post Code:				Country:					
Contact Information	Telephone Number:					Email:											
	IMO Number:					Web Address:											
Ownership	Is this company publicly listed?			Yes				No				If yes, which Exchange?					
	If No , state name of principal shareholder and any person owning more than 25% of the company below.																
	Shareholder / Owner:						%	Nationality:									
	Ultimate Beneficial Owner:							Nationality:									
Primary Contact	Name:																
	Position:																
	Email:																
	Telephone Number:						Fax Number:										
	Is this person authorized to give instructions on behalf of the Applicant Member?									Yes				No			
Know Your Client	Board of Directors <i>Please list the names and positions of Directors</i>																
	Name					Position				Nationality							
	Management <i>Please list the name of the Managing Director / CEO</i>																
	Name					Position				Nationality							
	Politically Exposed Persons <i>Politically Exposed Persons (PEP) can be defined as natural persons who is, or during the last 18 months has been, entrusted with prominent public functions, such as heads of state, heads of government, ministers, senior politicians, judicial or military officials, senior executives of state-owned corporations, including immediate family members or persons known to be close associates of such persons.</i>																
	Are there any politically exposed persons (PEP) involved in the entity?									Yes				No			
	If yes, please provide the following information																
	Name				Position				Date of birth			Citizenship					

PART II: JOINT MEMBERS, CO-ASSUREDS, AND AFFILIATES

(to be named in the certificate of entry)

(1) Registered Owners Name:											
Trading Address									City:		
	State/Province:					Post Code:			Country:		
Contact Information	Name:							Position:			
	Telephone Number:							Fax Number:			
	IMO Number:							Email:			
Ownership	Is this company publicly listed?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	If yes, which Exchange?					
	If No , state name of principal shareholder and any person owning more than 25% of the company below.										
	Shareholder / Owner:						%	Nationality:			
	Ultimate Beneficial Owner:							Nationality:			
Know Your Client	Board of Directors - Please list the names and positions of Directors										
	Name						Position			Nationality	
(2) Company Name:											
Role / Capacity:											
Trading Address									City:		
	State/Province:					Post Code:			Country:		
Contact Information	Name:							Position:			
	Telephone Number:							Fax Number:			
	IMO Number:							Email:			
Ownership	Is this company publicly listed?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	If yes, which Exchange?					
	If No , state name of principal shareholder and any person owning more than 25% of the company below.										
	Shareholder / Owner:						%	Nationality:			
	Ultimate Beneficial Owner:							Nationality:			
Know Your Client	Board of Directors - Please list the names and positions of Directors										
	Name						Position			Nationality	

(3) Company Name:								
Role / Capacity:								
Trading Address						City:		
		State/Province:		Post Code:		Country:		
Contact Information		Name:				Position:		
		Telephone Number:				Fax Number:		
		IMO Number:				Email:		
Ownership		Is this company publicly listed?		Yes		No		
				If yes, which Exchange?				
		If No , state name of principal shareholder and any person owning more than 25% of the company below.						
		Shareholder / Owner:			%	Nationality:		
Know Your Client		Board of Directors - Please list the names and positions of Directors						
		Name		Position		Nationality		
Please confirm that above companies have each been made aware of their joint and several liability for sums due to the Association in accordance with Rule 1, Section 3.14						Yes		

PART III: CORPORATE INSURANCE / HISTORY

I. Please provide a brief history of the company and / or its principal shareholders / owner.			
II. Please provide details of the company's operating experience and loss record with previous marine liability / P&I insurers.			
III. Please provide details of the company hierarchy and structure.			
IV. Has the applicant, member, person, or entity described above...			
Ever been denied coverage by an insurer:	Yes		No
Ever had a marine insurance policy canceled:	Yes		No
Ever had restricted terms or warranties imposed by their current P&I insurers:	Yes		No
Ever declared bankruptcy (voluntary or otherwise), sought the protection of a court due to insolvency, or is currently in bankruptcy:	Yes		No
Ever been the subject of an investigation relating to economic sanctions or connected through ownership or control by any entity or individual which is the subject of economic sanctions issued and/or enforced by the OFAC/OFSI/EU or UN:	Yes		No
If yes to any of the questions above, please explain:			

PART IV: VESSEL DETAILS

NAME:				IMO#:				GT:			YEAR BUILT:		
FLAG:				PORT OF REGISTRY:				CALL SIGN:			CLASS:		
TYPE:	<i>Bulk Carrier</i>		<i>General Cargo</i>		<i>Other</i>	<i>Please specify:</i>							
	<i>Container</i>		<i>RoRo</i>										
	<i>Ferry</i>		<i>Cruise</i>		<i>Passenger Capacity:</i>								
	<i>Heavylift</i>		<i>Tanker</i>		<i>If Tanker or Tank Barge:</i>	Non-Persistent		Persistent					
	<i>Tug</i>		<i>Barge</i>		<i>Barge Type:</i>								
CARGO CARRIED:					TRADING AREA:								
CONFIRM VESSEL TO BE INSURED FOR H&M:				Yes			No						
OFFICERS' NATIONALITY:					#:								
OTHER CREW NATIONALITY:					#:								
INTERNATIONAL SAFETY MANAGEMENT (ISM) OPERATING COMPANY:													
SAFETY MANAGEMENT CERTIFICATE (SMC):				DATE OF ISSUE:				CERTIFICATE BODY:					
DOCUMENT OF COMPLIANCE (DOC):				DATE OF ISSUE:				CERTIFICATE BODY:					

PART V: TRADING CERTIFICATES

CIVIL LIABILITY CONVENTION 1992	Tank vessels carrying over 2,000 tons of oil in bulk as cargo.		
	Applicable State, if not the Flag State:		
BUNKERS CONVENTION 2001	Any vessel any type over 1,000 GT which is flying the flag of a state party or entering a port or facility in the territorial sea of a state party.		
	We confirm that we have in place a war risks policy on standard terms with a separate limit for P&I liabilities for at least the proper value of the ship or USD 100m, whichever the lesser, and that we will comply with the terms and conditions of this policy.		
	Applicable State, if not the Flag State:		
WRECK REMOVAL CONVENTION 2007	Any vessel any type over 300 GT which is flying the flag of a state party or entering a port or facility in the territorial sea of a state party		
	Applicable State, if not the Flag State:		
MARITIME LABOUR CONVENTION 2006 AS AMENDED (MLC 2006)	Ships registered in a state which is a party to MLC, or calling at a port in a jurisdiction where MLC is in force		
	<ol style="list-style-type: none"> 1. MLC Certificate – Regulation 2.5.2., Standard A2.5.2 and 2. MLC Certificate – Regulation 4.2, Standard A4.2.1 paragraph 1 (b) 		
ATHENS CONVENTION 2002/PASSENGER LIABILITY REGUATION 2009 (PLR)	All sea going ships licensed to carry more than twelve passengers and engaged in		
	(a) an international voyage where the ship is		
	<ol style="list-style-type: none"> (i) registered in a state which is a member of the EU/EAA or party to the Convention, or (ii) calling at a port in a state which is a member of the EU/EAA or party to the Convention; or 		
	(b) a domestic voyage in an EU/EAA state which applies PLR to such vessels.		
	Non-War Blue Card		
	PLR War Blue Card (<i>A separate application form will be required</i>)		
US OIL POLLUTION ACT 1990	Letters for providers of Certificates of Financial Responsibility (<i>Vessels over 300GT entering US waters</i>)		
<i>Provider:</i>	Environmental Pollution Group (EPG)		MISL
	Great American Insurance Group		SIGCO
	Safe Harbour Pollution Insurance		WQIS
	Other:		
<i>Name of Applicant:</i>			
<i>Applicant Role:</i>	Owner		Bareboat Charterer
	Managing Owner		Operator
ITOPF (Tankers Only)	The International Tanker Owners' Pollution Federation Limited		
FMC (For Passenger Vessels Operating in the US)	Federal Maritime Commission		
	Section 2 Guaranty		
	Section 3 Guaranty		



Entry is subject to the By-Laws and Rules of the Association¹ and to any special terms and conditions separately agreed upon.

We hereby represent and warrant that the information given in this Application form is true, current, complete and accurate. It is understood that any a material misrepresentation or omission shall constitute grounds for immediate termination of cover and payment of claims, if any. It is further understood that the Applicant Member is under a continuing obligation to promptly notify the Association any material alteration to the information provided above.

We also represent and warrant that the signatory is authorized to sign this form on behalf of the Applicant Member and each of the Co-Assureds, Joint Members and Affiliates.

Please return the completed form and the attached undertakings here duly signed.

Date:	
Name:	
Signed:	
By Member on behalf of the Member and all Joint Members / Co-assureds / Affiliates for all Insured Vessels in the Fleet.	
¹ A copy of the By-Laws and Rules of the Association may be found on the Association's website.	



UNDERTAKING FOR TRADING CERTIFICATES
(BLUE CARDS/ MLC CERTIFICATES)

Vessel Name:	
IMO Number:	
Gross Tonnage:	
Call Sign:	
Flag State:	
Port of Registry:	
Crew Number:	Crew Nationality:
Passenger Capacity:	

Name of Registered Owner:	Full Address:

Please indicate which trading certificate (tick box) is required and confirm Certifying State		
Trading Certificate		Certifying State
CLC	<input type="checkbox"/>	
Bunker	<input type="checkbox"/>	
Wreck Removal	<input type="checkbox"/>	
Athens 2002 PLR non-war	<input type="checkbox"/>	
MLC 2016 (Standard 2.5.2)	<input type="checkbox"/>	
MLC 2016 (Standard 4.2.1)	<input type="checkbox"/>	

I. MLC Undertakings

We hereby undertake and agree that in consideration of the Association agreeing to issue the above MLC Certificates at our request:

- (a) we know of no events or claims which may give rise to a demand under the MLC Certificates;

- (b) we and all Co-assureds and Joint Members will be bound by the terms of the MLC Extension Clause 2016² which is deemed incorporated herein;
- (c) where any payment by the Association under any such certificate is in respect of war risks, we will indemnify the Association to the extent that such payment is recoverable under the Member's P&I war risks policy, or would have been recoverable if the Member had maintained and complied with the terms and conditions of a standard P&I war risks insurance policy;
- (d) to assign to the Association all the rights of the Member under any social security scheme, or other insurance or national fund or other similar arrangement where such scheme, insurance, national fund or arrangement applies in respect of all or any liabilities arising under the MLC Certificates;
- (e) 30 days from a notice to the Flag State of the termination thereof we shall take all necessary steps to remove the Certificates and any copies thereof from the Vessel(s) and return them to the Association;
- (f) we have the authority of all Co-assureds and Joint Members to make this request and to bind each of them to all the obligations under the MLC Extension Clause 2016 and hereunder.

II. Undertakings for Trading Certificates (Blue Cards and/or MLC Certificates) prior to Entry

Certificates under CLC, Bunkers Convention, Athens Convention/PLR, MLC 2006 and WRC will be issued once a binding agreement has been reached for entry of the vessel(s). If Blue Cards and/or MLC Certificates are requested before such agreement has been concluded, the following undertaking is required:

In consideration of the Association, upon our request and prior to entry in the Association of the above Vessels being concluded, providing Blue Cards and/or MLC Certificates so as to satisfy the certification requirements applicable to such Vessels pursuant to any or all of the following Conventions and to ensure that such Vessels are able to trade without delay and without the risk of penalties or fines for failing to satisfy such certification requirements [please indicate which blue cards are needed in the table above]:

- (a) Article 7 of the International Convention on Civil Liability for Bunker Oil Pollution Damage 2001 (Bunkers Convention)
- (b) Articles VII of the International Convention on Civil Liability for Oil Pollution Damage 1969 and 1992 (CLC)
- (c) Regulation (EC) No 392/2009 of the European Parliament and of the Council of 23 April 2009 on the Liability of Carriers of Passengers by Sea in the Event of Accidents (PLR non-war only)
- (d) Article 4bis of the Athens Convention Relating to the Carriage of Passengers and Their Luggage by Sea, 1974 and the Protocol of 2002 ("Athens") to the 1974 Athens Convention
- (e) Article 12 of the Nairobi International Convention on the Removal of Wrecks, 2007 ("WRC")
- (f) Regulation 2.5.2, Standard A2.5.2, Regulation 4.2 and Standard A4.2.1 paragraph 1(b) of the Maritime Labour Convention 2006 (MLC 2006)

III. Undertakings for Trading Certificates (Blue Cards and/or MLC Certificates) prior to Renewal

We request you to issue Blue Cards and/or MLC Certificates for the period up to **Noon GMT 20 February 2024** and/or for the period **Noon GMT 20 February 2024 to Noon GMT 20 February 2025** and hereby undertake and agree that:

- (1) it is our intention to enter the above Vessels in the Association, or in another Association in the International Group of P&I Associations for the next policy year; and,
- (2) if we do not effect such entry we will indemnify the Association and hold it harmless in respect of any and all liabilities, losses, damages, risks, costs or expenses which it may suffer or incur under the terms of the Blue Cards and/or MLC Certificates or as a direct or indirect consequence of issuing the Blue Cards and/or MLC Certificates including any liability the Association may incur under any applicable international compensation regime or implementing domestic legislation;



In consideration of the Association agreeing to issue Blue Cards and/or MLC Certificates whether or not prior to entry in the Association of the above vessel(s) being concluded, at the request of the owners or their agent, in support of a Bunker Convention, CLC certificate, PLR Non-war and/or Athens and/or WRC and/or MLC Certificates we hereby agree that, where any payment by the Association under any such certificate is in respect of war risks, we will indemnify the Association to the extent that such payment is recoverable under the Owner's P&I war risks policy, or would have been recoverable if the Owner had maintained and complied with the terms and conditions of a standard P&I war risks insurance policy, and, further, we agree to assign to the Association all the rights of the Owner under such insurance and against any third party.

These undertakings shall be governed by and construed in accordance with the law of the State of New York and any claim, dispute, legal action or proceeding arising out of or in connection with this letter of undertaking shall be subject to the exclusive jurisdiction of the United States District Court for the Southern District of New York.

When called upon to do so, we will instruct attorneys in New York to accept, on behalf of the Owners of any of the above Vessels, service of proceedings issued on behalf of the Association in connection with this Letter of Undertaking.

Date:	
Name:	
Signed:	
By Member on behalf of the Member and all Joint Members / Co-assureds / Affiliates for all Insured Vessels in the Fleet.	
² A copy of the MLC Extension Clause 2016 may be found on the Association's website.	