

Due Diligence Questionnaire



This checklist is designed to provide a non-exhaustive list of key questions that are likely to arise during the due diligence process in respect of the policy, call, transfer or shipment of goods. Any such coverage will be strictly subject to the sanctions clause set out in the main policy. **Please note:** If a member is providing the below information in connection with confirming cover for a voyage, it must be accompanied by the member's due diligence confirming that no breach of sanctions will occur.

Name of the Insured	
Name of all parties covered under contract of (re)insurance	
Name of ultimate beneficial owners / controller(s) of the vessels being covered	
Have the above names been screened against UK, UN, EU and US sanctions lists?	
Confirm the identities and nationalities of the following (as applicable):	
Vessel Name and IMO Number	
Vessel Flag	
Loading port agents	
Discharge port agents	
Loading Port operator / authority	
Discharge Port operator / authority	
Shipper	
Supplier	
Charterer(s)	
Buyer	
Financing Bank	
Seller	
Consignee	
End User	
Has the above-named parties (including the vessel) been screened against UK, UN, EU and US sanctions lists?	
Origin of the goods/cargo	
Nature of the goods/cargo	
End use of the goods/cargo	
What is the port of loading?	
Estimated date of loading?	
Are there any intermediate calls?	
What is the port of discharge?	
Estimated date of discharge?	
Premium Currency	
Is there an AP payable for this venture?	
Is there a Sanctions clause in the policy?	
Is the shipment covered by a General or Specific License issued by the UK, UN, EU or US? If this is the case, please provide evidence of this.	