

Due Diligence Questionnaire



This questionnaire is designed to provide a non-exhaustive list of key questions that are likely to arise during the due diligence process in respect of the subject voyage, call, transfer or shipment of goods. Any coverage will be strictly subject to the sanctions clause set out in the policy. **Please note:** If a member is providing the below information in connection with confirming cover for a voyage, it must be accompanied by the member's due diligence confirming that no breach of sanctions will occur.

Name of the Insured	
Name of all parties covered under the policy	
Name of ultimate beneficial owners / controller(s) of the vessels being covered	
Vessel Name and IMO Number	
Vessel flag	
Loading port agents	
Discharge port agents	
Loading Port operator / authority	
Discharge Port operator / authority	
Shipper	
Supplier	
Charterer(s)	
Buyer	
Financing Bank	
Seller	
Consignee	
End User of Cargo	
Origin of the goods/cargo	
Nature of the goods/cargo	
HS Cargo Code	
Port of loading	
Estimated date of loading	
Discharge Port	
Estimated date of discharge	
Any intermediate calls? If yes, list them	
Is there any STS cargo operation involved? If yes, identify other vessel(s)	Yes No

Is there a sanctions clause in the contract of carriage or other agreement?	Yes No
Is the shipment covered by a General or Specific License issued by the US, UK, EU or UN? If yes, provide copy.	Yes No
Have the parties and vessel(s) identified above (including their ultimate beneficial owners) been screened against the sanctions lists maintained by the US, UK, EU, and UN to confirm that their involvement will not violate sanctions?	Yes No
Is the transportation of the subject cargo compliant with the economic sanctions laws and regulations of the US, UK, EU, and UN?	Yes No
Is this form being submitted in respect of a request for confirmation of cover, claim notification, or inquiry from the Compliance Team? If yes, please attach the due diligence findings for this voyage or transaction.	Yes, due diligence findings attached No

Submitted on behalf of:

Submitted by:

Date submitted:

Signature and/or Stamp: